



City of
Peterborough

To: Members of the Committee of the Whole

From: Ken Doherty, Director Community Services

Meeting Date: May 2, 2016

**Subject: Report CSD16-015
Community Investment Grants 2016**

Purpose

A report to recommend the award of Community Investment Grants in the amount of \$210,000 to eligible community groups for 2016.

Recommendations

That Members of the Committee of the Whole approve the recommendations outlined in Report CSD16-015, dated May 2, 2016, of the Director of Community Services, as follows:

- a) That a transfer of \$2,000 from the Community Project Grant budget be allocated to Investment Grants.
- b) That the 2016 Community Investment Grants in the total amount of \$212,000 be allocated to various local charitable and Not For Profit organizations (NFP's) as set out in the attached Appendix A.
- c) That approved organizations be authorized and required to use the City Coat of Arms to acknowledge City support on promotional material such as flyers, brochures and posters throughout 2016.
- d) That, effective 2017, community-based organizations that receive significant direct and indirect City contributions towards major capital projects, be deemed eligible to apply for either Project Grants or Investment Grants in accordance with eligibility criteria.

Budget and Financial Implications

Funds for this grant program were allocated in the 2016 budget process in the amount of \$210,000. An additional \$2,000 was allocated to the Community Investment Grant program from the Community Project Grant program, for a total of \$212,000 in funding.

Background

Grant Advisory Committee

A community-based Grant Advisory Committee was appointed in 2016 for a two year term (2015/2016) to review all Community Investment Grant applications and to make recommendations for the preparation of this report.

Council appointed the following individuals to the Grant Advisory Committee for a two year term: Councillor Dan McWilliams, Councillor Keith Riel, Gary Rabjohn, Jeff Westlake, Ryan Worrall, Len Lifchus, Kevin Duguay, Martin Parker, Eric Prevost, Steven Soos, Glen Payne, and Kim Zippel. Steven Soos was unable to participate in 2016 as he has relocated.

The Grant Advisory Committee met as two subcommittees. One reviewed Health and Social Services applications (Riel, Lifchus, Rabjohn, Worrall, Duguay and Payne). The other reviewed Arts, Culture, Heritage, Recreation, and Sports applications (McWilliams, Parker, Prevost, Westlake, and Zippel). City Staff administered, facilitated, and supported the process. The respective sub-committees reviewed all of the applications, applied the eligibility and evaluation criteria, and collectively hereby recommend the grant allocations as outlined in Appendix A.

2016 Applications

This year, the City received 36 applications for Investment Grant funding, plus an additional eight pre-approved multiyear requests. Three new applicants have transitioned into this program from the Project Grant program.

The following chart illustrates how the Investment Grant program has evolved since its inception.

Year	Number of Applications	Number New Applications	Number Approved	Number Denied	Number Redirected/ Withdrawn/ not submitted	Total Allocation	Average Allocation
2007	51	5/10%	38/75%	9/18%	4 /8%	\$205,000	\$5,395
2008	41	0	38/93%	1 /2%	2/ 5%	\$205,000	\$5,395
2009	37	0	35/95%	0	2 /6%	\$205,000	\$5,857
2010	39	0	35/90%	3 /8%	1 /3%	\$205,000	\$5,857
2011	35	1/3%	34/97%	1 /3%	0	\$205,000	\$6,029
2012	40	4/10%	39/97%	1 /3%	0	\$205,000	\$5,395
2013	42	3/7%	40/95%	2/5%	0	\$205,000	\$5,125
2014	40	2/5%	37/92%	1/3%	4	\$210,000	\$5,675
2015	40	4/10%	38/95%	2/5%	4	\$210,000	\$5,526
2016	44	3/8%	42/95%	2/5%	0	\$212,000	\$4,818

The number of applicants and the average allocation has remained fairly constant throughout the period as a result of several applicants being redirected to either the Service Grant or the Project Grant programs. Organizations moved to the Service Grant Program include: Peterborough Historical Society/Hutchison House Museum and Kawartha Food Share, 2007; New Canadians Centre, YWCA (one year only) 2008; Market Hall, 2009; Peterborough Aids Resource Network and Peterborough Native Learning Program, 2014; Artspace, 2015.

Several organizations have also dissolved including the Peterborough Arts Umbrella (2009); and more recently, Heritage Pavilion Stage (2012). This year, two organizations chose not to apply for funding including: St. James United Church and Peterborough Drug Awareness Coalition. Since 2011, twenty organizations have transitioned through the Project Grants into this program.

This year, the Sub-committees chose to reduce the level of funding for poor quality applications; those applications with the least impact on local residents; limited fund-raising; or advocacy activities.

Allocation of Funding by Sector

Year	Arts Culture Heritage	Environment	Health & Social Services	Recreation & Sport	Total
2014	16/\$109,000	2/\$6,000	16/\$87,000	3/\$8,000	37/\$210,000
2015	17/\$109,000	4/\$11,000	16/\$85,000	1/\$5,000	38/\$210,000
2016	18/\$93,000	3/\$5,500	20/\$110,500	1/\$3,000	42/\$212,000

Multi-year Funding

Eight organizations have been allocated funding based on previous year's approval for multi-year funding. Thirteen applicants requested multi-year funding in 2016. Three organizations are recommended for multi-year funding and ten are recommended for one year funding.

Organizations Receiving Major direct and indirect City Funding Support for Major Capital Projects

Since the City's grants program was revised in 2007, Staff has deemed those organizations receiving financial support from the City for major capital projects to be ineligible to receive either Project or Investment Grants from the City. This has been applied to the YMCA, which received \$2,970,000 in direct and indirect support in 2008; to the YWCA, which received \$750,000 starting in 2009; and more recently the Poverty Reduction Network for their project at the Mount Community Centre, which has been awarded \$3,013,790 in direct and indirect support over 20 years as approved in 2014. The loss of access to potential operating support has been challenging, and even more so, with recent changes to United Way funding criteria.

This year Staff sought direction from the Community Grants Committee on how to create a realistic time frame in which these organizations could start to re-apply for City operating support in the form of a Community Grant. The Committee recommended that organizations could re-apply once they had reached a threshold in which the accumulated annual amount of the total Investment Grant fund exceeded the amount of the City contribution towards their original capital project. For example, in the case of the YWCA, they would be eligible after four years ($4 \times \$210,000 = \$840,000$) since that exceeds the original \$750,000 contribution. The YMCA would be eligible after 14 years or by 2022; and the Poverty Reduction Network, by 2028.

Senior City staff reviewed this proposal but felt that perhaps it was time to simplify the equation. When Council approves a major capital contribution to a community organization, it is deemed to be in the best interests of the community. It is recommended that the City separate the capital contribution from the operating pressures and maintain eligibility for operating funding support through Community Project or Investment Grants in accordance with the existing criteria. This could also help those affected in leveraging additional operating support from senior levels of government.

City Recognition

In the Investment Grant and Project Grant application, organizations were asked how they would recognize the City as a sponsor, should they be successful in obtaining funding. Many indicated they would use the City Coat of Arms on their websites, flyers, and posters. Staff is requesting that permission to use the City Coat of Arms be

extended to organizations to acknowledge City support. The City Coat of Arms will be provided to all successful applicants electronically once approval is confirmed.

Applicant Feedback

City Staff encourages organizations denied funding or receiving reduced funding to contact the Community Services Department administration office for feedback.

Eligibility Criteria

As a result of Reports CSD07-003 and CSD07-004 presented in the spring of 2007, Council approved significant changes to the former Community Grants Program, now referred to as the Community Investment Grant Program. Through the formal application process, applicants must meet the eligibility criteria as approved by Council:

- be NFP's that are located, and conduct the majority of their activities within the geographic boundaries of the City of Peterborough
- have sustained a history of activity over two years prior, normally achieved as past recipient of at least two Community Grants or two Community Project Grants
- have been in existence for five years if requesting multi-year funding
- have demonstrated sound financial management and good standing with the City of Peterborough and be able to demonstrate that they provide those services and programs in an effective, accessible, open and inclusive manner

The following are considered ineligible applicants:

- organizations receiving Community Project Grants or Community Service Grants
- individuals
- for-profit businesses
- organizations with political affiliation
- organizations serving as funding sources for others, e.g. Service Clubs
- faith-based organizations where services/activities include the promotion and/or required adherence to a faith
- hospitals, clinic-based services or medical treatment programs
- fund-raising events
- school boards, primary and secondary schools, post secondary institutions
- programs within legislated mandates of other government or city departments
- provincial/national organizations unless a local chapter exists to service the residents of Peterborough
- organizations receiving 80% or more of funding from senior levels of government
- organizations that conduct the majority of their activities outside of the City
- costs for major capital equipment/renovations and minor renovations; and financing of deficits

Through the application process, community groups must demonstrate:

- one or more City priorities and participant priorities within target sectors
- community need for proposed activity or service
- community support (funding support or sponsorship from other organizations, local businesses, industry, service clubs)
- community benefit/impact
- financial need (operating surpluses or reserve accounts should not exceed 25% of total operating without acceptable explanation, e.g., capital campaign)
- sound financial management and revenue generation (accurate records, responsible budget, various sources of income; if deficit, then reduction plan)
- accountability/mechanism for evaluation (identification of key performance measures and outcomes)
- feasibility (organization's ability to complete the proposed activity or service)
- evidence of community collaboration, partnerships
- impact of previous year's funding support

Summary

This is the ninth year for the revised Community Investment Grant Program. Following the evaluation criteria approved by Council, the community-based Advisory Committee and Staff recommends the disbursement of the full \$210,000, and additional \$2,000 from the Project Grant budget, to qualified applicants as the City's investment in their on-going activities to enrich local quality of life.

Submitted by,

Ken Doherty, Director
Community Services Department

Contact Name:
Ken Doherty, Director
Community Services Department
Phone: 705-742-7777, Ext. 1823
Toll Free: 1-855-738-3755
Fax: 705-748-8824
E-Mail: kdoherly@peterborough.ca

Attachment:
Appendix A – 2016 Community Investment Grant Recommended Funding